

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: APR 28, 2004 Name: David W. Okey, Reg. No. 42,959 Signature: David W. Okey

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Wai Kai Wong et al.

Appln. No.: 10/631,881

Filed: July 31, 2003

For: Letter Flashing System for Footwear and Personal Articles

Attorney Docket No: 9046/20

Examiner: N/A

Art Unit: 2875

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Information Disclosure Statement (in dup.); Form PTO-1449; 7 cited foreign references  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

APR 28, 2004  
Date

David W. Okey (Reg. No. 42,959)



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28 APR 2004

Date of Deposit

David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or  
Registered Representative

David W. Okey

Signature

April 28, 2004

Date of Signature

Our Case No.: 9046/20

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Wai Kai Wong et al.

Serial No.: 10/631,881

Filing Date: 07/31/2003

For: LETTER FLASHING SYSTEM FOR  
FOOTWEAR AND PERSONAL  
ARTICLES

Examiner: N/A

Group Art Unit No.: 2875

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Po Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

### U.S. Patent Documents

Document No.	Date	Inventor
1,597,823	08/31/1926	Randolph
1,933,243	10/31/1933	De Merolis et al.
2,572,760	10/23/1951	Rikelman
2,634,407	04/07/1953	Johnson
2,671,209	03/02/1954	Habib
2,671,847	03/09/1954	Lerch
2,816,284	12/10/1957	Campanell
2,849,819	09/02/1958	Murphy et al.
2,931,893	04/05/1960	Arias et al.
2,959,892	11/15/1960	Johnson
2,976,622	03/28/1961	Shearouse
3,008,038	11/7/1961	Dickens et al.
3,053,949	9/11/1962	Johnson
3,070,907	01/01/1963	Rocco
3,564,232	02/16/1971	Ellerbe
3,800,133	03/26/1974	Duval
3,731,022	05/01/1973	Loftus
3,893,247	07/08/1975	Dana, III
3,946,505	03/30/1976	Dana, III
4,014,115	03/29/1977	Reichert
4,020,572	05/03/1977	Chiaramonte
4,128,861	12/05/1978	Pelengaris
4,130,951	12/26/1978	Powell
4,158,922	06/26/1979	Dana, III
4,231,079	10/28/1980	Heminover
4,253,253	03/03/1981	McCormick
4,298,917	11/03/1981	Ware
4,350,853	09/21/1982	Ganyard
4,412,205	10/25/1983	Von Kemenczky
4,459,645	07/10/1984	Glatte
4,588,387	05/13/1986	Swenson
4,701,146	10/20/1987	Swenson
4,800,469	01/24/1989	Leon
4,848,009	07/18/1989	Rodgers
4,870,325	09/26/1989	Kazar

### U.S. Patent Documents

Document No.	Date	Inventor
4,897,947	02/06/1990	Kass-Pious
4,995,294	02/26/1991	Kashio et al.
5,016,144	05/14/1991	DiMaggio
5,033,212	07/23/1991	Evanyk
5,052,131	10/01/1991	Rondini
5,188,447	02/23/1993	Chiang et al.
5,285,586	02/15/1994	Goldston et al.
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5,303,485	04/19/1994	Goldston et al.
5,313,187	05/17/1994	Choi et al.
5,343,190	08/30/1994	Rodgers
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5,381,615	01/17/1995	MacMillan
5,396,720	03/14/1995	Hwang et al.
5,400,232	03/21/1995	Wong
5,406,724	04/18/1995	Lin
5,408,764	04/25/1995	Wut
5,419,061	05/30/1995	Barrocas
4,412,205	10/25/1983	Von Kemenczky
5,419,061	05/30/1995	Barrocas
5,422,628	06/06/1995	Rodgers
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5,438,493	08/01/1995	Tseng
5,455,749	10/03/1995	Ferber
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5,463,537	10/31/1995	Trattner et al.
5,465,197	11/07/1995	Chien
5,477,437	12/19/1995	Lach
5,483,759	01/16/1996	Silverman
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5,490,338	02/13/1996	Hwang et al.
5,495,136	02/27/1996	Chiang et al.
5,495,682	03/05/1996	Chen
5,500,635	03/19/1996	Mott
5,508,899	04/16/1996	McCormick
5,546,681	08/20/1996	Goldston et al.
5,550,721	08/27/1996	Rapisarda

### U.S. Patent Documents

Document No.	Date	Inventor
5,566,479	10/22/1996	Gray et al.
5,577,828	11/26/1996	Nadel et al.
5,599,088	02/04/1997	Chien
5,604,999	02/25/1997	Barker
5,644,858	07/08/1997	Bemis
5,663,614	09/02/1997	Weng et al.
5,683,164	11/04/1997	Chien
5,722,757	03/03/1998	Chien
5,732,486	03/31/1998	Rapisarda
5,746,499	05/05/1998	Ratcliffe et al.
5,758,946	06/02/1998	Chen
5,812,063	09/22/1998	Weng et al.
5,813,148	09/29/1998	Guerra
5,894,201	04/13/1999	Wong
5,903,103	05/11/1999	Garner
5,945,911	08/31/1999	Healy et al.
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6,012,822	01/11/2000	Robinson
6,104,140	08/15/2000	Wut et al.
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6,145,999	11/14/2000	Van Derlande
6,164,794	12/26/2000	Rodgers
6,280,045 B1	08/28/2001	Anteby et al.
6,354,712 B1	03/12/2002	Anteby
6,348,766 B1	02/19/2002	Oshishi et al.
6,360,615 B1	03/26/2002	Smela
6,408,545 B1	06/25/2002	Song
6,525,487 B2	02/25/2003	Wei
6,619,812 B2	09/16/2003	Rapisarda
RE37,220 E	06/12/2001	Rapisarda et al.
2003/0137852 A1	07/24/2003	Rapisarda

### Foreign Patent Documents

Document No.	Date	Country
JP 405021188A	01/29/1993	Japan
JP 354133766A	10/17/1979	Japan
JP 355080376A	06/17/1980	Japan
JP 54133766	10/17/1979	Japan
EP 0 335 467 B1	10/04/1989	Europe

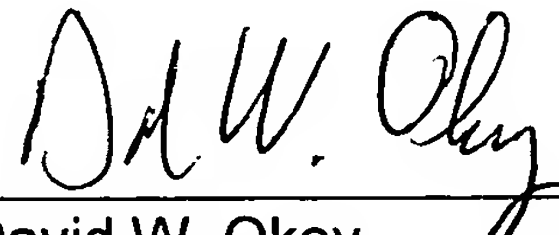
Document No.	Date	Country
EP 0 121 026 A1	10/10/1984	Europe
WO 93/11681	06/24/1993	International

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

 APR 28, 2004  
David W. Okey  
Registration No. 42,959  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



10-1449	SERIAL NO. 10/631,881	CASE NO. 9046/20
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE July 31, 2003	GROUP ART UNIT 2875
(use several sheets if necessary)	APPLICANT(S): Wai Kai Wong et al.	

# REFERENCE DESIGNATION

# U.S. PATENT DOCUMENTS

Examiner Initial		Document Number Number-Kind Code (if known)	Date	Name	Class/ Sub- Class	Filing Date
	A1	1,597,823	08/31/1926	Randolph		
	A2	1,933,243	10/31/1933	Merolis et al.		
	A3	2,572,760	10/23/1951	Rikelman		
	A4	2,634,407	04/7/1953	Johnson		
	A5	2,671,209	03/2/1954	Habib		
	A6	2,671,847	03/9/1954	Lerch		
	A7	2,816,284	12/10/1957	Campanell		
	A8	2,849,819	09/2/1958	Murphy et al.		
	A9	2,931,893	04/5/1960	Arias et al.		
	A10	2,959,892	11/15/1960	Johnson		
	A11	2,976,622	03/28/1961	Shearouse		
	A12	3,008,038	11/7/1961	Dickens et al.		
	A13	3,053,949	09/11/1962	Johnson		
	A14	3,070,907	01/1/1963	Rocco		
	A15	3,564,232	02/16/1971	Ellerbe		
	A16	3,800,133	03/26/1974	Duval		
	A17	3,731,022	05/1/1973	Loftus		
	A18	3,893,247	07/8/1975	Dana, III		
	A19	3,946,505	03/30/1976	Dana, III		
	A20	4,014,115	03/29/1977	Reichert		
	A21	4,020,572	05/3/1977	Chiaramonte, Jr.		
	A22	4,128,861	12/5/1978	Pelengaris		
	A23	4,130,951	12/26/1978	Powell		
	A24	4,158,922	06/26/1979	Dana, III		
	A25	4,231,079	10/28/1980	Heminover		
	A26	4,253,253	03/3/1981	McCormick		
	A27	4,298,917	11/3/1981	Ware		
	A28	4,350,853	09/21/1982	Ganyard		
	A29	4,412,205	10/25/1983	Von Kemenczky		
	A30	4,459,645	07/10/1984	Glatter		
	A31	4,588,387	05/13/1986	Swenson		
	A32	4,701,146	10/20/1987	Swenson		
	A33	4,800,469	01/24/1989	Leon		
	A34	4,848,009	07/18/1989	Rodgers		
	A35	4,870,325	09/26/1989	Kazar		
	A36	4,897,947	02/6/1990	Kass-Pious		
	A37	4,995,294	02/26/1991	Kashio et al.		

EXAMINER	DATE CONSIDERED
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<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE July 31, 2003	GROUP ART UNIT 2875
(use several sheets if necessary)	APPLICANT(S): Wai Kai Wong	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

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	A38	5,016,144	05/14/1991	DiMaggio		
	A39	5,033,212	07/23/1991	Evanyk		
	A40	5,052,131	10/1/1991	Rondini		
	A41	5,188,447	02/23/1993	Chiang et al.		
	A42	5,285,586	02/15/1994	Goldston et al.		
	A43	5,303,131	04/12/1994	Wu		
	A44	5,303,485	04/19/1994	Goldston et al.		
	A45	5,313,187	05/17/1994	Choi et al.		
	A46	5,343,190	08/30/1994	Rodgers		
	A47	5,353,441	10/11/1994	Lazorchak		
	A48	5,357,697	10/25/1994	Lin		
	A49	5,371,662	12/6/1994	Shen-Ko		
	A50	5,381,615	01/17/1995	MacMillan		
	A51	5,396,720	03/14/1995	Hwang et al.		
	A52	5,400,232	03/21/1995	Wong		
	A53	5,406,724	04/18/1995	Lin		
	A54	5,408,764	04/25/1995	Wut		
	A55	5,419,061	05/30/1995	Barrocas		
	A56	4,412,205	10/25/1983	Von Kemenczky		
	A57	5,419,061	05/30/1995	Barrocas		
	A58	5,422,628	06/6/1995	Rodgers		
	A59	5,438,488	08/1/1995	Dion		
	A60	5,438,493	08/1/1995	Tseng		
	A61	5,455,749	10/3/1995	Ferber		
	A62	5,456,032	10/10/1995	Matsumoto et al.		
	A63	5,457,900	10/17/1995	Roy		
	A64	5,461,188	10/24/1995	Drago et al.		
	A65	5,463,537	10/31/1995	Trattner et al.		
	A66	5,465,197	11/7/1995	Chien		
	A67	5,477,437	12/19/1995	Lach		
	A68	5,483,759	01/16/1996	Silverman		
	A69	5,485,358	01/16/1996	Chien		
	A70	5,490,338	02/13/1996	Hwang et al.		
	A71	5,495,136	02/27/1996	Chiang et al.		
	A72	5,495,682	05/5/1996	Chen		
	A73	5,500,635	05/19/1996	Mott		
	A74	5,508,899	04/16/1996	McCormick		
	A75	5,546,681	08/20/1996	Goldston et al.		

EXAMINER	DATE CONSIDERED
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(use several sheets if necessary)	APPLICANT(S): Wai Kai Wong	

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## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number-Kind Code (if known)	Date	Name	Class/Sub-Class	Filing Date
	A76	5,550,721	08/27/1996	Rapisarda		
	A77	5,566,479	10/22/1996	Gray et al.		
	A78	5,577,828	11/26/1996	Nadel et al.		
	A79	5,599,088	02/4/1997	Chien		
	A80	5,604,999	02/25/1997	Barker		
	A81	5,644,858	07/8/1997	Bemis		
	A82	5,663,614	09/2/1997	Weng et al.		
	A83	5,683,164	11/4/1997	Chien		
	A84	5,732,486	03/31/1998	Rapisarda		
	A85	5,746,499	05/5/1998	Ratcliffe et al.		
	A86	5,758,946	06/2/1998	Chen		
	A87	5,812,063	09/22/1998	Weng et al.		
	A88	5,894,201	04/13/1999	Wong		
	A89	5,903,103	05/11/1999	Garner		
	A90	5,969,479	10/19/1999	Wong		
	A91	6,012,822	01/11/2000	Robinson		
	A92	6,145,999	11/14/2000	Van Derlande		
	A93	6,164,794	12/26/2000	Rodgers		
	A94	6,280,045 B1	08/28/2001	Anteby et al.		
	A95	6,354,712 B1	03/12/2002	Anteby		
	A96	6,348,766 B1	02/19/2002	Ohishi et al.		
	A97	6,360,615 B1	03/26/2002	Smela		
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	A100	6,619,812 B2	09/16/2003	Rapisarda		
	A101	RE37,220 E	06/12/2001	Rapisarda et al.		
	A102	2003/0137852 A1	07/24/2003	Rapisarda		

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number-Kind Code (if known)	Date	Country	Class/Subclass	Translation Yes or No
	A103	405021188A	01/29/1993	Japan		Yes
	A104	354133766A	10/17/1979	Japan		Yes
	A105	355080376A	06/17/1980	Japan		Yes
	A106	54133766	10/17/1979	Japan		Yes
	A107	0 335 467 B1	10/04/1989	EPO		Yes

EXAMINER	DATE CONSIDERED
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(use several sheets if necessary)	APPLICANT(S): Wai Kai Wong	

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	A108	0 121 026 A1	10/10/1984	EPO		Yes
	A109	WO 93/11681	06/24/1993	WIPO		Yes

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